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**OFFICE OF PETITIONS**

In re Application of  
Andrew C. Gilbert,  
Andrew Stergiopoulos and  
William P. Tselepis  
Application No. 10/047,607  
Filed: January 14, 2002  
Attorney Docket No. CF-034  
Title: SYSTEMS AND METHODS FOR  
ELECTRONIC TRADING THAT PERMIT  
PRINCIPAL/BROKER TRADING

DECISION NOTING JOINDER  
OF LEGAL REPRESENTATIVE  
AND  
PETITION UNDER  
37 CFR 1.47(a) MOOT

This is a decision on the Request for Reconsideration of Petition under 37 C.F.R. § 1.47(a), filed December 18, 2002.

The petition is **DISMISSED AS MOOT**.

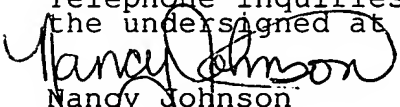
The above-identified application was filed on January 14, 2002, without an executed oath or declaration, and naming Andrew C. Gilbert, Andrew Stergiopoulos and William P. Tselepis as joint inventors. In reply to the "Notice to File Missing Parts of Nonprovisional Application" mailed February 13, 2002, applicants timely filed the initial petition under § 1.47, with a declaration executed by the legal representatives of inventors Stergiopoulos and Tselepis. However, the petition was dismissed for failure to demonstrate that a *bona fide* attempt had been made to present a copy of the application papers (specification, including claims, drawings, and oath or declaration) to the legal representative of inventor Gilbert (Decision mailed October 24, 2002).

On instant request for reconsideration, applicants timely replied filing a declaration executed by previously non-signing legal representative Mary Ann Gilbert. This declaration (considered in combination with the declarations previously filed July 12, 2002) has been reviewed and found in compliance with 37 CFR 1.63 and 1.64.

In view of the joinder of the joint inventor, further consideration under 37 CFR 1.47(a) is not necessary and the petition is considered moot. This application does not have any Rule 1.47(a) status and no such status should appear on the file wrapper. This application need not be returned to this Office for further consideration under 37 CFR 1.47(a).

The application file is being returned to the Office of Initial Patent Examination for completion of pre-examination processing. Receipt of a request for rescission of the nonpublication request is noted (Paper No. 6).

Telephone inquiries regarding this decision should be directed to the undersigned at (703) 305-0309.

  
Nancy Johnson  
Petitions Attorney  
Office of Petitions  
Office of the Deputy Commissioner  
for Patent Examination Policy